



Licensed Agent: Seller/Builder Agreement

- Current/Active insurance license must be submitted with application; no minimum lives covered requirement.
- This contract permits selling of LegalShield products, and recruitment of others on any contract.
- Enrollment in LegalShield Advantage is an available option.
- Eligible for Performance Club, Incentives, and bonus programs contingent upon LegalShield Advantage enrollment.
- Commissions may be paid advanced or as- earned, level or high first year.
- Review the [National & Regional Broker List](#). Ensure that this is not a National or Regional Broker Prior to submission of this agreement.
- Licensed Agents who contract with LegalShield are considered LegalShield Independent Associates.

I acknowledge that I have reviewed the current and posted [National & Regional Broker List](#) and the Rules of Engagement for the LegalShield Broker Program. By signing this form, I confirm that this insurance seller is not on the National or Regional Broker list. Failure to verify the list can result in the removal of this agreement from your organization.

Licensed Agent Qualified Signature: _____

Print Name: _____

Licensed Agent Qualified Number: _____

Date: _____



- **SELLER/BUILDER AGREEMENT:**
- **Director Level**
- **Current Insurance License Must be included**



AA.APP 2.16 51008

Corporate Office: One Pre-Paid Way • Ada, OK 74820
www.LegalShield.com • 580-436-7424

Today's Date / /
MM DD YYYY

Fill in either the Individual SSN OR the Federal Tax I.D. No. for Business Entity. • Income will be reported to the number you list below. The SSN or EIN must match the name listed. **Please print in ALL CAPITAL letters. Use ONLY BLUE or BLACK INK. FAILURE TO PRINT LEGIBLY can cause DELAYS IN PROCESSING YOUR APPLICATION.**

Personal Information

Applicant's Assigned Producer No. _____

If applying under a company name, print here _____

Mr. Mrs. Miss Ms. Dr. _____ Primary contact if company named above _____

Applicant's Name _____
Last First MI

***Co-Applicant's Name** _____
Last Fi MI

Applicant's SSN or Federal Tax I.D. No. _____ **DOB** _____
MM DD YYYY

If Applicant is known by another name other than the one listed above, Please PRINT HERE _____

Recognition Name-name used when applicant is recognized in publications and at events. _____

Address _____ Apt. # /Ste #

City State Zip + 4

Shipping Address _____ Apt. # /Ste #

(If different than mailing address)

City State Zip + 4

Phone # () _____
Home

Phone # () _____
Cell

Phone # () _____
Business Ext.

Fax # () _____

Email _____

(Your privacy is a priority with us! We will not sell your email address or personal information of any kind to third party vendors.)

Sponsoring Associate (There is no placing with this agreement.)

Sponsoring Associate No. _____ **Associate Name & Phone No.** _____

Licensed Agent Qualified Associate

If not the sponsor, I certify that I am personally working with the sponsor who has a working business relationship with the Licensed Agent and that I do not have any ties to the prospect other than via my associate.

Licensed Agent Qualified No. _____ **Associate Name & Phone No.** _____

ONLINE ACCOUNT CREATION

LegalShield Associates, (also referred to herein as "Licensed Agents") are invited to create an online account to access marketing materials, training and other resources. After this agreement is processed, a welcome email will be sent to the Licensed Agent which will include an assigned Associate Number (Producer ID). To create an online account, simply go to access.legalshield.com and enter the email address listed on this application. When prompted to enter an Account Code, please note that is the Associate Number/Producer ID.

LEGALSHIELD ADVANTAGE PLUS

Please note that after your Licensed Agent Agreement has been processed and accepted, you have the opportunity to subscribe to LegalShield Advantage Plus for a monthly fee of \$24.95. To subscribe, after creating your online account (outlined above) simply log in to your account at login.legalshield.com, and click on Payments. (Note: This is a monthly fee. If paying with credit card, there will be an additional \$1 processing fee each month. By not opting to enroll, you understand you will not be eligible for recognition or other incentives.)

PROCUREMENT OF A CONSUMER AND/OR INVESTIGATIVE CONSUMER REPORT

By submitting this application, you understand that LegalShield may procure a consumer report and/or investigative consumer report on you. You also understand that at LegalShield's discretion subsequent consumer and/or investigative consumer reports may be procured during the term of your contract with LegalShield. These above-mentioned reports may include a Social Security Number verification. You are entitled to a complete and accurate disclosure of the nature and scope of any investigative consumer report of which you are the subject upon your written request, if such is made within a reasonable time after the date hereof. You may receive a written summary of your rights under 15 U.S.C. § 1681et. seq. and Cal. Civ. Code §1786. Your independent contractor position with LegalShield is contingent upon the successful completion of the above referenced investigative consumer report.

*Please provide me with a copy of my investigative consumer report (CA, OK & MN residents only). Yes No

Receive Commissions by Direct Deposit!

CHOOSE ONE: Daily Weekly Monthly

(Subject to minimum accrual and other criteria established by the company)

We need your account information for direct deposit.

CHOOSE ONE: Checking Savings

To be paid by direct deposit, you must include a voided check or deposit slip from the account into which the commissions are to be deposited. If you do not provide information for direct deposit and check one of the options above, you will be paid commissions by check on a monthly basis subject to minimum accrual and other criteria established by the company.

UNDER PENALTIES OF PERJURY, I CERTIFY THAT:

- 1) The number shown on this form is my correct taxpayer identification number.
- 2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest dividends, or (c) the IRS has notified me that I am no longer subject to backup withholdings.

NOTE: You must cross out item (2) above if you have been notified by the IRS that you are currently subject to backup withholding because of under reporting interest or dividends on your tax returns.

The following questions MUST be answered, if applicable, or the application will be returned.

- | | | |
|---|-----|----|
| 1) Have you pled to, or been convicted of, a felony? | Yes | No |
| 2) Has anyone who might write business under this Licensed Agent Agreement pled to, or been convicted of, a felony? | Yes | No |
| 3) Has any professional license (i.e. an insurance license) held by you in any state been suspended or revoked? | Yes | No |
| 4) Has any professional license held by anyone who might write business under this Licensed Agent Agreement in any state been suspended or revoked? | Yes | No |
| 5) Do you, or does anyone who might write business under this Licensed Agent Agreement, have any unresolved pending arrests and/or charges? | Yes | No |

If you answered "Yes" on any of these questions, please send documents concerning the matter. Having a felony will not necessarily preclude your acceptance as a Licensed Agent/Associate. If any changes occur to the answers you've given above after your Licensed Agent Agreement is approved, you are required to notify LegalShield immediately.

- I have had explained to me the LegalShield membership and compensation plan and understand the benefits of both.
- I have read the Policies and Procedures on the reverse of this form and been given a copy and agree to them as in effect from time to time.
- If making any payment by check, I agree that LegalShield may convert my check into an electronic debit to be drawn on my account for the face amount shown on the check I've provided.
- By signing this form, I certify that I accept the placement of my Licensed Agent Agreement under the sponsoring Associate. I understand this agreement is subject to approval by a duly authorized officer/ representative of LegalShield.

Signature of Applicant **X** _____

By Authorized Representative **X**
if Business Entity _____

Applicant's LegalShield Membership No. _____

Applicant's SSN No. OR Federal Tax ID No. _____

Policies and Procedures

We at LegalShield believe that our business should be conducted in an ethical, honest, and fair manner, using the "Golden Rule" as a basic principle in all business activities. We expect our Licensed Agents to present our products/services in an honest and truthful manner, with dignity, always conscious of the reputation and integrity of LegalShield and its Associates.

1 A Licensed Agent is an independent contractor and does not have a franchise, distributorship or other exclusive right to sell arrangement with LegalShield. LegalShield reserves the right to accept or reject any Licensed Agent Agreement in its sole discretion. A Licensed Agent is not an employee for federal tax purposes or any other purposes. A Licensed Agent is neither an agent, partner, nor involved in a joint venture with LegalShield. The Licensed Agent will be responsible for all costs or liabilities incurred by the Licensed Agent in the sale and/or distribution of all LegalShield products/services. The Licensed Agent shall not enter into any agreements or make any purchases in the name of, or on behalf of, LegalShield.

2 A Licensed Agent at his/her own expense (if any) shall be responsible for the filing of any and all reports required by local law or public authority with respect to the sale of any products/services marketed by LegalShield and shall abide by any and all federal, state, county, and municipal laws, rules, regulations, and ordinances with respect to all sales. The Licensed Agent is responsible for providing LegalShield his/her Social Security number or Federal Tax Identification number for tax reporting purposes.

3 A Licensed Agent does not have the authority to waive, change, or modify a LegalShield product or service in anyway. Furthermore, no modification of any service is binding upon LegalShield unless authorized in writing at the corporate offices of LegalShield by an authorized officer of the Home Office.

4 Regarding advertising:

(a) Only advertising and promotional material that is pre-printed, pre-approved, and properly licensed by LegalShield may be purchased or used in the promotion or sale of LegalShield products/services. A Licensed Agent agrees to pay for all supplies and marketing materials needed. Supplies should be obtained directly from LegalShield. If a Licensed Agent wishes to return supplies and obtain a refund, he/she must return the supplies in usable condition and request a refund within 15 days of the purchase date. If a Licensed Agent wishes to exchange a supply item for another, he/she must return the item in usable condition and request an exchange within 30 days of the purchase date. Obsolete material will not be eligible for refund or exchange.

(b) A Licensed Agent may not contact prospects by using auto dialers, unsolicited telemarketing, unsolicited advertisements to fax machines and/or any other method prohibited by applicable federal or state law. In addition, a Licensed Agent initiating the transmission of unsolicited commercial electronic mail (spam), agrees to comply with all LegalShield policies regarding such advertising, as well as to applicable state and federal laws governing such transmissions.

(c) Any Licensed Agent sponsored website shall comply with these policies and any other policies of LegalShield relating to Associate websites.

5 There are no territory exclusives. Any Licensed Agent may sell products/services in any state in which LegalShield is authorized to do business, except in those states where an insurance or other license or appointment is required unless the Licensed Agent meets those requirements. However, a Licensed Agent may recruit other Licensed Agents/Associates in any state in which LegalShield is authorized to do business, provided the prospective Licensed Agent/Associate is properly licensed in that state if so required for the sale of products/services.

6 The Licensed Agent and the Licensed Agent's spouse and the Licensed Agent's children, will normally be regarded as one "Associate" for purpose of placement, downline or lineages. However, a spouse, may complete a separate Agreement (and submit the necessary fee), provided the Agreement is sponsored by the same person or entity. In the event of a dispute, the Applicant is the responsible party for all income as well as information on the account.

7 The accepted practice is for a new Licensed Agent to be sponsored by the first person who contacted him/her regarding a particular LegalShield product/service. LegalShield will continue to support this practice. However, the relationship as to who sponsored the new Licensed Agent will be determined by the first completed Licensed Agent Agreement processed by LegalShield for that particular product/service.

8 The identity of an Licensed Agent's downline is confidential, proprietary information that belongs to LegalShield. The Licensed Agent may not disclose the identity of the downline to third parties and may not use the information for any purpose other than promoting LegalShield during or after his or her relationship with LegalShield. In addition, the Licensed Agent shall not disclose to any person other than LegalShield any non-public information concerning members he/she acquires in connection with processing of membership applications.

9 A change in the relationship between sponsor and Licensed Agent may be made by an active Associate filing a written resignation with the LegalShield product/service and remaining inactive in the product/service for the specified time frame. After that time, the Licensed Agent maybe re-sponsored by a different person or entity by paying the appropriate fee. Resignation time frames range from six months to one year. Any sponsor change will result in the Licensed Agent losing his/her current level in the marketing plan and any downlines in place.

10 The Licensed Agent Agreement and all rights and responsibilities thereunder may be passed by a Licensed Agent upon death by will, trust or other appropriate and legally executed provision. If there is no will or other instrument providing otherwise, LegalShield will deem the rights of this Licensed Agent Agreement to be held by (i) the Licensed Agent's spouse identified on the agreement; or (ii) if no spouse as provided by the law of distribution. In any transfer of the Licensed Agent Agreement, any outstanding debit balance of the deceased Licensed Agent at the time of death will be charged back against earned commissions, including renewals.

11 A Licensed Agent may wish to sell his/her organization to another Licensed Agent/Associate. However, a Licensed Agent may not sell his/her organization in the first 12 months he/she is a Licensed Agent. After the first 12 months, the sale of an organization is permissible provided that a) LegalShield is notified of the sale and agrees in writing to the sale and to the effective date of the sale, b) LegalShield is provided a new tax identification number to which future income is reported, c) the appropriate fee is paid, and d) the selling and purchasing Licensed Agent/Associate comply with any other LegalShield policies relating to sales. Policies relating to sales are available from the Home Office of LegalShield.

12 A Licensed Agent Agreement with LegalShield may be terminated as follows: (a) At any time upon written notice by the Licensed Agent; (b) By LegalShield, in its sole discretion, with thirty (30) days written notice to the Licensed Agent if the level of persistency of the Licensed Agent's business remains below a level considered by LegalShield to be acceptable; (c) Immediately by LegalShield for actions or statements by a Licensed Agent which LegalShield, in its sole discretion, determines to be contrary to the Company's best interests, including without limitation, if a Licensed Agent (i) violates the terms of the Licensed Agent Agreement or these Policies and Procedures as in effect from time to time; (ii) misrepresents the Company's name or any products or services; (iii) violates any other LegalShield policy; (iv) solicits memberships by using the name of the Provider Attorney firm; makes product or service claims or earnings claims contrary to any Company material; (v) reveals any LegalShield trade secrets or confidential and proprietary information, including without limitation names of Licensed Agents/Associates, members or corporate accounts or business plans or strategies; (vi) calls on an existing group account assigned to another Licensed Agent/Associate, interferes with an existing group account's servicing schedule, or takes any action contrary to the interests of the group account.

(d) By LegalShield when a Licensed Agent fails to meet the continuing qualification requirements outlined in # 15 below. A Licensed Agent who terminates his/her Licensed Agent Agreement or who is terminated by LegalShield will, effective on the date of termination, no longer be entitled to any bonuses or commissions, including renewals, advanced or earned, personal or downline. Licensed Agent fees will not be refunded unless the Licensed Agent Agreement is terminated by the Licensed Agent within the first 30 days after the effective date. Licensed Agent returns any unused marketing materials, and the Licensed Agent has not sold any memberships or recruited any other Licensed Agents/Associates.

13 Advance commissions for sales produced by the Licensed Agent or in the Licensed Agent's downline shall be paid only when sales of LegalShield products/services have occurred and shall be earned only as fees on LegalShield products/services are received by LegalShield. LegalShield reserves the right, in its sole discretion, to change commission advance rates, pay commissions on an as-earned basis or to place commissions on hold on an individual or overall basis. Any sums advanced to the Licensed Agent shall create a debit balance which will be a loan to the Licensed Agent from LegalShield. This balance will normally be repaid by withholding earned commissions, including renewals, but the Licensed Agent is liable for repayment upon demand by LegalShield. A Licensed Agent may request commissions be paid on less than a 100% advance basis. Advance commissions are made in connection with the business of selling LegalShield products or services and the Licensed Agent agrees that they are not for the Licensed Agent's personal, family or household purposes.

14 Any and all Licensed Agent accounts, including joint accounts and accounts of a related business entity of the Licensed Agent, shall be considered as one account for debit balances.

15 So long as the Licensed Agent Agreement is in effect, a Licensed Agent will continue to receive commissions on a product/service line only so long as he/she produces at least one personal sale in the product/ service line each quarter of the calendar year or maintains a personal membership. A Licensed Agent is not required to maintain a personal membership but may do so if desired for purposes of this section.

16 Group accounts are the property of LegalShield and may be assigned to another Licensed Agent/Associate. Best efforts will be made to honor and preserve group accounts secured by a Licensed Agent. The Company reserves the right and authority, in its sole discretion, to reassign or reaffirm any Associate on a group account, for reasons, including but not limited to the following:

- (a) when servicing is one year or more delinquent;
- (b) when no new memberships are written in a given year except when 60% or more of the eligible employees are enrolled in a plan;
- (c) when disputes arise between one or more Licensed Agents/Associates regarding a group account; or
- (d) when LegalShield determines, in its sole discretion, that the servicing of the group is inadequate.

17 Advance and earned commission percentages on groups with more than 300 members will be determined on an individual basis by LegalShield.

18 LegalShield reserves the right, in its sole discretion, at any time to no longer accept new membership sales or Licensed Agent/Associate recruitments from any Licensed Agent/Associate, or credit you with any new organization activity, and to adjust or change any compensation plan and incentive program at any time without prior notice. LegalShield may, in its sole discretion, add, change or terminate any services offered by LegalShield to any or all Licensed Agents/Associates at anytime, in whole or in part, including but not limited to supplies, communications, newsletters, eservices, voice response systems or website services.

19 No LegalShield products or services may be sold or offered in combination with any other product or service without prior written approval from an authorized officer of LegalShield. A Licensed Agent may not sell or offer to sell any legal service plan or product, or identity theft product other than LegalShield products or services without prior approval from an authorized officer at the Home Office. Insurance professionals offering products (e.g. auto/life/home insurance) which contain ancillary identity theft services are exempted from the "Identity Theft product" rule above, providing no "stand-alone" Identity Theft product is offered.

20 A Licensed Agent may not proselytize, recruit or solicit in any manner any LegalShield Licensed Agent/Associate, including without limitation his or her first line, into any other company or organization during the term of the Licensed Agent/Associate Agreement and for 2 years after the date of any termination hereof.

21 The failure of LegalShield to insist upon strict compliance with any of the Policies and Procedures herein shall not be deemed to be a continuous waiver in the event of any future breach or waiver of the Policies and Procedures. In the event of any conflict or inconsistency between these Policies and Procedures and any other marketing materials of LegalShield, including the Success Guide, these Policies and Procedures shall control.

22 In the event that a provision of the Licensed Agent Agreement or these Policies and Procedures is held to be invalid or unenforceable, such provision shall be reformed only to the extent necessary to make it enforceable, and the balance of the Agreement and Policies and Procedures will remain in full force and effect.

23 The Licensed Agent Agreement and Policies and Procedures will be governed by and construed in accordance with the laws of the State of Oklahoma. The Licensed Agent Agreement and Policies and Procedures constitute the entire agreement between the Licensed Agent and LegalShield and no amendment may be made, either written or oral, without the signature of an authorized LegalShield officer, provided that these Policies and Procedures may be amended from time to time by LegalShield by publication in a means reasonably available to Licensed Agents/Associates generally, including publication in periodic communications to Licensed Agents/Associates or on the LegalShield website. All disputes and claims related to LegalShield, the Licensed Agent Agreement, these Policies and Procedures and any other LegalShield policies, products and services, the rights and obligations of a Licensed Agent and LegalShield, or any other claims or causes of action between the Licensed Agent or LegalShield or any of its officers, directors, employees or affiliates, whether statutory in or in contract or otherwise, shall be settled totally and finally by arbitration in Oklahoma City, Oklahoma, in accordance with the Commercial Arbitration Rules of the American Arbitration Association. However, Licensed Agent understands and expressly agrees that LegalShield may seek a temporary restraining order and/or preliminary injunction in state or federal court to maintain the status quo pending determination of the dispute. If any Licensed Agent files a claim or counterclaim against LegalShield or any of its officers, directors, employees or affiliates in any such arbitration, a Licensed Agent shall do so only on an individual basis and not with any other Licensed Agent/Associate or as part of a class action. Judgment on any award may be entered in the Pontotoc County District Court or in the United States District Court for the Eastern District of Oklahoma. The Licensed Agent consents to the jurisdiction and venue of such arbitration and such courts.

LegalShield Licensed Agent/Agency Schedule of Commissions

LegalShield offers two compensation plans for its LegalShield and IDShield plans. The first compensation plan offers commissions paid on a level rate for the life of the membership. (Level Compensation Plan). The second compensation plan provides for commissions to be paid at a higher rate for the first year (High/Low Compensation Plan). Both compensation plan charts are provided in Exhibit A. Licensed Agent/Agency can choose their preferred compensation plan at the time of contracting (Exhibit B).

Amounts shown are the commission percentages applicable to LegalShield and IDShield plans. Percentages shown represent the total amount of commissions available along with the indicated bonus level when Licensed Agent/Agency is presenting the products and enrolling members at indicated production levels.

Commissions are paid and earned as membership fees are collected by LegalShield. Qualification for bonus levels is based on the premium of membership sales. A membership is a new legal plan membership or stand-alone identity theft plan. If an individual has any plan active with LegalShield (or has had an active plan of any type within the last six months) the plan is not a new membership and does not count towards bonus levels.

In addition, LegalShield also has various rates for company paid IDShield plans. All plan sales utilizing the company paid rate structure will be paid as level commission for the life of the membership regardless of the method chosen by the Licensed Agent/Agency for all other sales. This includes any family plan buy ups resulting from an employee account that is company paid. For commission rates and pricing related to the IDShield company paid program please contact your servicing agent or the LegalShield Broker Services unit. These commissions are paid as LegalShield receives fees from the group.

Commission percentages for specialty and legacy plans may vary from the percentages shown in Exhibit A. Compensation is subject to change without notice and is subject to all company policies and procedures. The Company reserves the right to pay commissions on any sale based on actual payment received from the member (or as earned) or in certain cases to pay commissions on a modified structure which allows level commission earnings.

Exhibit A

LEVEL COMPENSATION PLAN

Commissions are paid to Licensed Agent/Agency (including Benefit Brokers) on LegalShield/IDShield membership plans based on the schedule below. Licensed Agent/Agency is eligible for bonus level commission once \$700 in premium has been written in a given month. Bonus level commission is paid on all sales processed in the month following achievement of \$700 in premium written.

Licensed Agent/Agency is eligible for Tier 2 (Elite) commission for groups under 200 provided \$150 in premium is written the previous month and retention criteria is met. Earn Tier 2 (Elite) commission in one month to be paid on sales made the following month.

	Groups under 200 EEs (Basic)	Groups under 200 EEs (Elite)	Groups 200+ EEs
First Year Commission	9.4%	9.4%	12.7%
Renewal	9.4%	9.4%	12.7%
Bonus Commission	2.1%	3.2%	N/A
Bonus Renewal	2.1%	3.2%	N/A

In the event that another LegalShield Associate is also involved in the presentation and enrollment of members with a Licensed Agent/Agency, then all parties shall agree to the appropriate commission split.

NATIONAL PLAN COMPENSATION

For employer groups which qualify to offer their employees a LegalShield or IDShield National Plan (minimum 200 eligible lives), available commissions shall be up to a total of seventeen percent (17%) of the premium received from the employer group, paid as LegalShield receives payment from the employer.

The primary Benefits Broker is eligible to receive 17% on new National Plan cases. However, the Benefits Broker can elect to use the assistance of a LegalShield Servicing Agent to provide ongoing servicing and enrollment support. In this instance, the Benefits Broker commissions will reduce to 12%.

In the event of a transition case, where the National Plan is implemented in an existing LegalShield group, commissions to the Benefits Broker shall be 10%. When an additional LegalShield Associate/Licensed Agent/Agency is involved in enrolling a transition case, total commissions to the additional party/parties shall be 8%.

Exhibit B

HIGH/LOW COMPENSATION

First year commissions are the commissions paid for the first 12 months of the membership life. Renewal commissions are paid and/or earned in all months thereafter. Renewals will be paid at 10% of the original first year commission. High/Low earned commissions are paid 1/12 over the first year of the membership. High/Low advanced commissions are paid during the first month of the membership.

A Licensed Agent/Agency is eligible for Tier 2 commission provided \$150 in premium is written the previous month and retention criteria is met. Earn Tier 2 commission in one month to be paid on sales made the following month.

Renewals are amounts the Licensed Agent/Agency will earn on memberships that “renew” or continue paying after the first 12 months of the life of the membership. Since the Licensed Agent/Agency receives a commission advance amount representing commissions for the first 12 months of payments made, all additional payments made by the member after month 12 represent renewal payments. The Licensed Agent/Agency will begin earning renewals at the beginning of month 13. A one-time Cash Residual Commission equal to 10% of the original first year commission will be paid with the member’s 13th payment and is not subject to chargebacks. Additionally, annual renewal commissions of 10% (of the original first year commission) will be paid as the member makes their monthly payment for the life of the membership. All renewal commission will be first applied to any outstanding Debit Balance. Once the Debit Balance is recovered, future earnings will be paid to the Licensed Agent/Agency. Debit Balances will typically apply only to High/Low advanced commissions.

First Year Commission Levels – Groups under 200 EEs							
	Base Level	Base Level Level 1	Bonus Level 2	Bonus Level 3	Bonus Level 4	Bonus Level 5	Bonus Level 6
Premium per month	Below \$700	\$700-\$1,399	\$1,400-\$2,399	\$2,400-\$3,199	\$3,200-\$3,999	\$4,000-\$4,799	\$4,800+
Advance (Tier 1)	28.1%	34.6%	41.0%	42.4%	43.8%	45.2%	46.5%
Advance (Tier 2)	28.1%	37.8%	47.5%	49.6%	51.7%	53.7%	55.8%
Example: The Licensed Agent/Agency processes \$700 premium in the month of June. Commissions will be paid at 28.1% of the annual membership fees for each membership processed. In July all memberships processed will be paid at Bonus Level 1 Elite, or 37.8%, of the annual membership fees for each membership processed.							

First Year Commission - Groups 200+ EEs	
Advance	38.2%
Annual Renewal	10.0%



Compensation Selection

In the event that an additional LegalShield Associate is involved in the presentation and enrollment of members with a Licensed Agent/Agency, then the Licensed Agent/Agency and the LegalShield Associate shall agree to the appropriate commission split. If a Licensed Agent/Agency makes an introduction for a LegalShield Associate, but does not otherwise participate in presentations and enrollments of members, the Licensed Agent/Agency and LegalShield Associate shall mutually agree upon compensation for Licensed Agent/Agency.

Licensed Agents/Agencies can review LegalShield's policies and procedures governing the business practices of LegalShield online at www.associates.legalshield.com.

Licensed Agent/Agency: Please mark the appropriate choice for each of the questions below:

Please check the compensation plan and how the Licensed Agent/Agency wishes to be paid by checking the appropriate boxes below **(see Exhibit A for definition of plans)**:

1. **High/Low Compensation Plan**

Advanced commissions subject to chargebacks; **OR**

As Earned

2. **Level Compensation Plan**

To be paid "As Earned"

LegalShield and Subsidiaries

State	Comp Appt	Testing Type	Pre-Lic Course Required	CE Required	License Type Accepted	Associate License Information and Fees
Alaska	Not Required	Casualty Test	Casualty Test	Not Required	Producer Casualty	License Fee \$122 plus \$5 Transaction Fee/ \$89 Test Fee/ \$47 Fingerprinting Fee License Renews Every 2 Years on Birth Month for \$127
Massachusetts	Required	Casualty Test	Casualty Test	24 hrs Triennially	Casualty	License Application Fee \$300 plus \$5 Transaction Fee/ Test Fee \$65/ Appointment Fee \$75 License Renews Triennially in Birth Month/ Appointment Expires Annually prior to 06/30 - \$75 Appointment Renewal Fee
New Jersey	Required	Not Required	Not Required	Not Required	Legal Insurance P&C and L&H	License Application Fee \$100 plus \$5 Transaction Fee Appointment Fee \$80 License Expires Biennially in Birth Month \$75 Appointment Expires Annually Prior to 05/01 - Appointment Renewal Fee \$25
South Carolina (Registration)	Not Required	Not Required	Not Required	Not Required	Pre-Paid Legal	Application Fee \$40 Registration Expires Annually on 10/01 - License Renewal fee \$40
Virginia (Registration)	Not Required	Not Required	Not Required	Not Required	Pre-Paid Legal Service	Application Fee \$50/ Registration Expires Annually on 06/30 \$50
<p align="center"> <small>In South Carolina the company is regulated by the Department of Consumer Affairs. One of the company's subsidiaries offers plans in Canada. In Manitoba, New Brunswick, Northwest Territories, and Prince Edward Island there is a requirement for individuals to be licensed as a direct seller. Please email the LegalShield Licensing Department at licensing@legalsshield.com for nonresident licensing procedures.</small> </p>						

REV 07/01/2023

Reminder: please be sure to upload a copy of your insurance license. Note that the license must be a currently active producer license from any state/province.